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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/655,948	
	Filing Date	09/05/2003	
	First Named Inventor	Mohamed Nourmohamadian	
	Art Unit	2188	
	Examiner Name	Padmanabhan, Mano	
Total Number of Pages in This Submission	330	Attorney Docket Number	ULTERA.008A

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See "Remarks" for complete itemization of this submission
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks - Transmittal Form in 1 page - Information Disclosure Statement in 1 page; - Form PTO/SB/08A in 1 page - Form PTO/SB/08B in 1 page - Copies of 10 Cited References in 326 pages	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	LAW OFFICE OF GLENN R. SMITH
Signature	<i>Glenn R. Smith</i>
Date	11/18/2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Glenn R. Smith		
Signature	<i>Glenn R. Smith</i>	Date	11/18/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTERA.008A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mohamad Nourmohamadian) Group Art Unit 2188
Appl. No. : 10/655,948)
Filed : September 05, 2003)
For : VIRTUAL TAPE STACKER)
Examiner : Padmanabhan, Mano)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

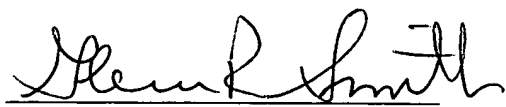
Dear Sir:

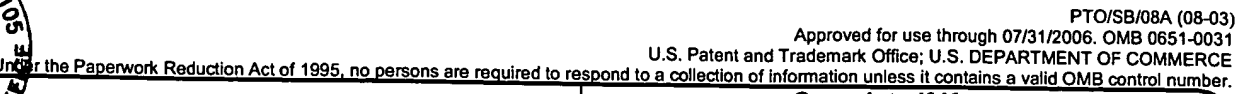
In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97-1.98, it is requested that the references listed on the attached forms PTO/SB/08A-B be made of record in the above-identified application. This submission is filed in accordance with 37 CFR 1.97(b)(3) before the mailing date of a first office action on the merits. Copies of the ten cited references are also attached herewith.

Respectfully submitted,

LAW OFFICE OF GLENN R. SMITH

Dated: 11/18/2004

By: 
Glenn R. Smith, Esq., Reg. No. 38,308
Attorney of Record
28626 Brookhill Road
Trabuco Canyon, CA 92679-1163
Telephone/Fax: (949) 709-7164



Complete if Known

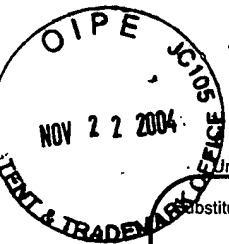
(Use as many sheets as necessary)

Application Number	10/655,948
Filing Date	09/05/2003
First Named Inventor	Mohamad Nourmohamadian
Art Unit	2188
Examiner Name	Padmanabhan, Mano
Attorney Docket Number	ULTERA.008A

[illegible][illegible]Date
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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PTO/SB/08B (08-03)

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Substitute for form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number	10/655,948
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First Named Inventor	Mohamad Nourmohamadian
Art Unit	2188
Examiner Name	Padmanabhan, Mano
Attorney Docket Number	ULTERA.008A

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ULTERA SYSTEMS, INC.; Striper 4 Tape Series Tape Array Controller -- User Guide May 2002; Ultera Systems, Inc., Laguna Hills, CA	
		ULTERA SYSTEMS, INC.; Simply The Fastest Most Reliable Backup/Restore Solution Available 2002; Ultera Systems, Inc., Laguna Hills, CA	
		QUANTUM CORPORATION; Enhanced Backup Solutions -- Quantum DX 30 November 2002; Quantum Corporation, Irvine, CA	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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